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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	99-0017.14
First Inventor	Fred B. SIMPSON
Title	Ornament Base
Express Mail Label No.	EV 342630059 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets _____]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner _____

Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 021491 OR ☐ Correspondence address below

Name _____

Address _____

City _____

State _____

Zip Code _____

Country _____

Telephone _____

Fax _____

Name (Print/Type) Charles R. Ducker, Jr.

Registration No. (Attorney/Agent) 46,542

Signature Charles R. Ducker Jr.

Date March 12, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22387 U.S. PTO
10/799969

031204



22713 U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004 <i>Effective 01/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known			
		Application Number			
		Filing Date			
		First Named Inventor	Fred B. SIMPSON		
		Examiner Name			
<input checked="" type="checkbox"/> X	Applicant claims small entity status. See 37 CFR 1.27		Art Unit		
TOTAL AMOUNT OF PAYMENT		(\$)		Attorney Docket No.	99-0017.14

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input checked="" type="checkbox"/> X	Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> X	Deposit Account						
	Deposit Account Number			50-0686			
	Deposit Account Name			Lanier Ford Shaver & Payne P.C.			
The Commissioner is authorized to: (check all that apply)							
<input type="checkbox"/>	Charge fee(s) indicated below			<input checked="" type="checkbox"/> X	Credit any overpayments		
<input checked="" type="checkbox"/> X	Charge any additional fee(s) during the pendency of this application						
<input type="checkbox"/>	Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.						
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	770	2001	385		Utility filing fee	385.00
	1002	340	2002	170		Design filing fee	
	1003	530	2003	265		Plant filing fee	
	1004	770	2004	385		Reissue filing fee	
	1005	160	2005	80		Provisional filing fee	
SUBTOTAL (1)				(\$)		385.00	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE							
Total Claims	21	-20**=	1	X	9.00	=	9.00
Independent Claims	4	-3**=	1	X	43.00	=	43.00
Multiple Dependent						=	
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1202	18	2202	9		Claims in excess of 20	
	1201	86	2201	43		Independent claims in excess of 3	
	1203	290	2203	145		Multiple dependent claim, if not paid	
	1204	86	2204	43		**Reissue independent claims over original patent	
	1205	18	2205	9		**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)		52.00	
				** or number previously paid, if greater; For Reissues, see above			
				*Reduced by Basic Filing Fee Paid			
				SUBTOTAL (3)		(\$)	

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Charles R. Ducker, Jr.		Registration No. (Attorney/Agent)	46,542	Telephone	(256) 535-1100
Signature	Charles R. Ducker		Date	March 12, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Express Mail Label No. EV 342630059 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fred B. SIMPSON)
Mailed to PTO: March 12, 2004)
For: Ornament Base)

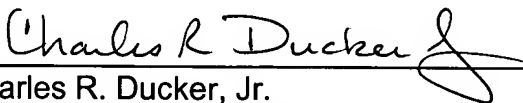
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

NON-PROVISIONAL APPLICATION COVER SHEET

Applicant, by and through his attorney, hereby submits the following documents:

1. Utility Patent Application Transmittal (Form PTO/SB/05);
2. Fee Transmittal for FY 2004 (Form PTO/SB/17) (*in duplicate*);
3. Application Data Sheet;
4. Non-Provisional Patent Application (16 pages, plus cover sheet);
5. Drawings (5 sheets consisting of Figs. 1A, 1B, and 2-5);
6. Check No. 89787 for \$437.00; and
7. Return receipt post card.

Respectfully submitted,


Charles R. Ducker, Jr.
Registration No. 46,542
Date: March 12, 2004

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